

AFSM

First Trust Active Factor Small Cap ETF

Analyst Report

AFSM is an actively managed portfolio of US small-cap companies that exhibit exposure to one or more investing factors.

ESG Themes and Scores

5.08/10

ESG Rate

24.46%

Global Percentile

6.15%

Peer Percentile

Performance Data

	AFSM	ETF Database Category Average	Factset Segment Average
1 Month	3.90%	3.02%	3.15%
3 Month	-3.58%	-3.38%	-3.30%
YTD Return	7.50%	0.61%	2.39%
1 Year Return	4.46%	-4.41%	-0.67%
3 Year Return	7.69%	2.17%	4.33%
5 Year Return	N/A	2.99%	3.04%

Top 5 Holdings

Symbol	Holding	% Assets
AMPH	Amphastar Pharmaceuticals, Inc.	1.35%
SMCI	Super Micro Computer, Inc.	1.31%
BCC	Boise Cascade Co.	1.13%
AMR	Alpha Metallurgical Resources, Inc.	1.09%
ELF	e.l.f. Beauty, Inc.	1.09%

Vitals

Issuer	First Trust
Brand	First Trust
Structure	ETF
Expense Ratio	0.77%
Inception	Dec 03, 2019
Index Tracked	N/A

ETF Database Themes

Category	Small Cap Blend Equities
Asset Class	Equity
Asset Class Size	Small-Cap
Asset Class Style	Blend
Region (General)	North America
Region (Specific)	U.S.

FactSet Classifications

Segment	Equity: U.S. - Small Cap
Category	Size and Style
Focus	Small Cap
Niche	Broad-based
Strategy	Active
Weighting	Proprietary

