

ASHS

Xtrackers Harvest CSI 500 China A-Shares Small Cap ETF Analyst Report

The Xtrackers Harvest CSI 500 China-A Shares Small Cap Fund (ASHS) offers exposure to small mainland-listed Chinese equities, a segment that's often overlooked by China-specific funds, which tend to have a bias toward larger companies. The tilt toward bigger firms can overemphasize the financial and energy sectors. This fund can be paired with the Xtrackers Harvest CSI 300 China A-Shares ETF (ASHR), the first and largest U.S.-listed ETF to offer direct exposure to mainland-listed Chinese equities, called A-shares.

The A-share focus is what sets ASHS apart from the iShares MSCI China Small-Cap ETF, which excludes A-shares. Investors looking for small-cap China exposure might consider mixing ECNS and ASHS.

ESG Themes and Scores

N/A

ESG Rate

N/A

Global Percentile

N/A

Peer Percentile

Performance Data

	ASHS	ETF Database Category Average	Factset Segment Average
1 Month	4.89%	-3.15%	-2.18%
3 Month	21.06%	2.80%	4.98%
YTD Return	23.49%	-1.99%	5.58%
1 Year Return	62.63%	14.75%	29.45%
3 Year Return	17.63%	8.37%	11.78%
5 Year Return	5.50%	-3.90%	-1.54%

Top 5 Holdings

Symbol	Holding	% Assets
688498	Yuanjie Semiconductor Technology Co., Ltd. Class A	1.66%
300604	Hangzhou Changchuan Technology Co. Ltd. Class A	1.25%
002281	Accelink Technologies Co., Ltd. Class A	1.19%
688347	Hua Hong Grace Semiconductor Limited Class A	1.16%
002008	Han's Laser Technology Industry Group Co., Ltd. Class A	1.16%

Vitals

Issuer	DWS
Brand	Xtrackers
Structure	ETF
Expense Ratio	0.65%
Inception	May 21, 2014
Index Tracked	CSI Smallcap 500 Index

ETF Database Themes

Category	China Equities
Asset Class	Equity
Asset Class Size	Large-Cap
Asset Class Style	Blend
Region (General)	Emerging Asia Pacific
Region (Specific)	China

FactSet Classifications

Segment	Equity: China - Small Cap
Category	Size and Style
Focus	Small Cap
Niche	Broad-based
Strategy	Vanilla
Weighting	Market Cap

