

CSML

IndexIQ ETF Trust IQ U.S. Small Cap ETF

Analyst Report

CSML tracks an equal-weighted index of small US companies selected by value, growth, technical, and sentiment factors.

ESG Themes and Scores

5.14/10

ESG Rate

25.32%

Global Percentile

5.73%

Peer Percentile

Performance Data

| | CSML | ETF Database Category Average | Factset Segment Average |
|---------------|--------|-------------------------------|-------------------------|
| 1 Month | -3.43% | -2.63% | -2.66% |
| 3 Month | 0.27% | 2.17% | 1.02% |
| YTD Return | -4.38% | -1.66% | -2.79% |
| 1 Year Return | 10.79% | 11.55% | 6.66% |
| 3 Year Return | 0.69% | -1.66% | -0.19% |
| 5 Year Return | 7.43% | 3.94% | 3.18% |

Top 5 Holdings

| Symbol | Holding | % Assets |
|--------|-------------------------------------|----------|
| Other | U.S. Dollar | 0.26% |
| AMRK | A-Mark Precious Metals, Inc. | 0.26% |
| AGX | Argan, Inc. | 0.26% |
| WIRE | Encore Wire Corporation | 0.25% |
| LGND | Ligand Pharmaceuticals Incorporated | 0.24% |

Vitals

| | |
|---------------|---|
| Issuer | New York Life |
| Brand | IndexIQ |
| Structure | ETF |
| Expense Ratio | 0.35% |
| Inception | May 16, 2017 |
| Index Tracked | NASDAQ Chaikin Power US Small Cap Index |

ETF Database Themes

| | |
|-------------------|--------------------------|
| Category | Small Cap Blend Equities |
| Asset Class | Equity |
| Asset Class Size | Micro-Cap |
| Asset Class Style | Blend |
| Region (General) | North America |
| Region (Specific) | U.S. |

FactSet Classifications

| | |
|-----------|--------------------------|
| Segment | Equity: U.S. - Small Cap |
| Category | Size and Style |
| Focus | Small Cap |
| Niche | Broad-based |
| Strategy | Multi-factor |
| Weighting | Equal |

