

FXU

First Trust Utilities AlphaDEX Fund

Analyst Report

This ETF invests in an "enhanced" index which employs a quantitative methodology to picking individual utilities securities from the Russell 1000 index. An investment in the utilities sector offers several advantages to the average investor. Firstly, many utilities are a necessity in today's world, and as our population continues to grow in the future, the demand for these companies will only increase in theory. Second, utility companies are known for their high dividend yields, giving investors a steady stream of income despite what market conditions may be like. Finally, these companies may prove to be somewhat recession proof; no matter what the economic conditions are, people still need to use electricity and other utilities to go about their daily lives. FXU invests the majority of its assets in the U.S., and has a bias towards companies of medium market capitalization. Investors should also note that this product has an attractive dividend yield to generate stable returns for a portfolio.

ESG Themes and Scores

7.3/10

ESG Rate

80.41%

Global Percentile

59.38%

Peer Percentile

Performance Data

	FXU	ETF Database Category Average	Factset Segment Average
1 Month	2.20%	1.83%	2.31%
3 Month	-4.11%	-0.42%	-2.69%
YTD Return	7.61%	9.10%	5.58%
1 Year Return	17.82%	17.25%	11.65%
3 Year Return	17.11%	14.21%	12.34%
5 Year Return	11.91%	8.55%	8.58%

Top 5 Holdings

Symbol	Holding	% Assets
PNW	Pinnacle West Capital Corp	4.36%
ES	Eversource Energy	4.32%
EIX	Edison International	4.22%
ED	Consolidated Edison, Inc.	4.04%
PCG	PG&E Corporation	4.03%

Vitals

Issuer	First Trust
Brand	First Trust
Structure	ETF
Expense Ratio	0.61%
Inception	May 08, 2007
Index Tracked	StrataQuant Utilities Index

ETF Database Themes

Category	Utilities Equities
Asset Class	Equity
Asset Class Size	Mid-Cap
Asset Class Style	Value
Region (General)	North America
Region (Specific)	U.S.

FactSet Classifications

Segment	Equity: U.S. Utilities
Category	Sector
Focus	Utilities
Niche	Broad-based
Strategy	Multi-factor
Weighting Scheme	Tiered

