

GRID

First Trust Nasdaq Clean Edge Smart GRID Infrastructure Index Analyst Report

This unique produce from First Trust targets companies engaged in the 'smart grid' movement which seeks to upgrade America's electricity grid with 21st century technologies. This process looks to transform the electric system to one that is more efficient and all around 'smarter' than the current grid, potentially benefiting consumers and utilities alike. Companies in this fund include those that are engaged and involved in maintaining and operating the electric grid, electric meters and devices, networks, energy storage and management, and enabling software used by the smart grid infrastructure sector. Due to the often specialized nature of many of the companies in this field, most do not find their way into other, broad utility ETFs such as XLU or UTH making GRID an interesting pick for those looking to 'complete' their exposure to the utility sector. However, with budget crises around the country, the amount of money that can go to smart grid projects here in the U.S. may be limited so many should look for high levels of volatility in these products both due to their small cap nature as well as the political uncertainty surrounding the field.

ESG Themes and Scores

7.71/10

ESG Rate

90.48%

Global Percentile

53.42%

Peer Percentile

Performance Data

	GRID	ETF Database Category Average	Factset Segment Average
1 Month	3.07%	5.74%	-0.24%
3 Month	16.46%	20.11%	2.65%
YTD Return	28.82%	29.82%	11.99%
1 Year Return	50.60%	76.83%	9.87%
3 Year Return	26.24%	10.66%	6.46%
5 Year Return	18.11%	2.14%	2.71%

Top 5 Holdings

Symbol	Holding	% Assets
ABBN	ABB Ltd.	8.36%
ETN	Eaton Corp. Plc	8.11%
JCI	Johnson Controls International plc	7.44%
SU	Schneider Electric SE	7.30%
NG	National Grid plc	6.27%

Vitals

Issuer	First Trust
Brand	First Trust
Structure	ETF
Expense Ratio	0.56%
Inception	Nov 16, 2009
Index Tracked	NASDAQ OMX Clean Edge Smart Grid Infrastr (TR)

ETF Database Themes

Category	Alternative Energy Equities
Asset Class	Equity
Asset Class Size	Multi-Cap
Asset Class Style	Blend
Region (General)	Developed Markets
Region (Specific)	Broad

FactSet Classifications

Segment	Equity: Global Infrastructure
Category	Sector
Focus	Theme
Niche	Infrastructure
Strategy	Vanilla
Weighting	Market Cap

