

HAPS

Harbor Human Capital Factor US Small Cap ETF Analyst Report

HAPS tracks a market cap-weighted index of small-cap US stocks believed to possess a strong corporate culture relating to financial performance. Holdings are reweighed to meet sector neutrality.

ESG Themes and Scores

5.53/10

ESG Rate

23.5%

Global Percentile

25.36%

Peer Percentile

Performance Data

	HAPS	ETF Database Category Average	Factset Segment Average
1 Month	1.92%	1.98%	2.05%
3 Month	13.31%	12.23%	11.51%
YTD Return	13.38%	13.92%	14.07%
1 Year Return	28.11%	30.50%	23.84%
3 Year Return	11.72%	11.47%	8.58%
5 Year Return	N/A	3.19%	3.39%

Top 5 Holdings

Symbol	Holding	% Assets
TWST	Twist Bioscience Corp.	2.42%
PECO	Phillips Edison & Company, Inc.	2.02%
KYMR	Kymera Therapeutics, Inc.	1.85%
MGY	Magnolia Oil & Gas Corp. Class A	1.82%
OGN	Organon & Co.	1.77%

Vitals

Issuer	ORIX
Brand	Harbor
Structure	ETF
Expense Ratio	0.61%
Inception	Apr 12, 2023
Index Tracked	Human Capital Factor Small Cap Index - Benchmark TR Gross

ETF Database Themes

Category	Small Cap Blend Equities
Asset Class	Equity
Asset Class Size	Small-Cap
Asset Class Style	Blend
Region (General)	North America
Region (Specific)	U.S.

FactSet Classifications

Segment	Equity: U.S. - Small Cap
Category	Size and Style
Focus	Small Cap
Niche	Broad-based
Strategy	ESG
Weighting	Tiered