

OUSM

ALPS | O'Shares U.S. Small-Cap Quality Dividend ETF Analyst Report

OUSM tracks an index of US small-cap stocks weighted for exposure to quality, low volatility, high dividend yield, and dividend quality factors.

ESG Themes and Scores

6.21/10

ESG Rate

37.99%

Global Percentile

12.3%

Peer Percentile

Performance Data

	OUSM	ETF Database Category Average	Factset Segment Average
1 Month	3.67%	0.42%	1.15%
3 Month	7.43%	6.52%	6.64%
YTD Return	7.28%	4.83%	5.48%
1 Year Return	9.61%	12.83%	10.26%
3 Year Return	10.50%	8.14%	6.12%
5 Year Return	9.08%	3.25%	3.30%

Top 5 Holdings

Symbol	Holding	% Assets
NHC	National HealthCare Corporation	2.77%
DCI	Donaldson Company, Inc.	2.63%
AVT	Avnet, Inc.	2.42%
LECO	Lincoln Electric Holdings, Inc.	2.41%
RPRX	Royalty Pharma Plc Class A	2.37%

Vitals

Issuer	SS&C
Brand	ALPS
Structure	ETF
Expense Ratio	0.48%
Inception	Dec 30, 2016
Index Tracked	O'Shares US Small-Cap Quality Dividend Index

ETF Database Themes

Category	Small Cap Blend Equities
Asset Class	Equity
Asset Class Size	Small-Cap
Asset Class Style	Blend
Region (General)	North America
Region (Specific)	U.S.

FactSet Classifications

Segment	Equity: U.S. - Small Cap
Category	Size and Style
Focus	Small Cap
Niche	Broad-based
Strategy	Multi-factor
Weighting	Multi-Factor

