

# PFLD

## AAM Low Duration Preferred and Income Securities ETF Analyst Report

PFLD tracks a market-value weighted U.S. index of short-term preferred and hybrid securities that are multifactor-selected.

### ESG Themes and Scores

5.45/10	27.25%	8.23%
ESG Rate	Global Percentile	Peer Percentile

### Performance Data

	PFLD	ETF Database Category Average	Factset Segment Average
1 Month	0.52%	0.59%	0.52%
3 Month	0.37%	0.12%	0.37%
YTD Return	1.16%	1.82%	1.16%
1 Year Return	5.59%	9.54%	5.59%
3 Year Return	1.77%	2.72%	1.77%
5 Year Return	1.80%	2.04%	1.80%

### Top 5 Holdings

Symbol	Holding	% Assets
GS.PRD	Goldman Sachs Group, Inc. Depository Repr 1/1000th	2.56%
JPM.PRC	JPMorgan Chase & Co Depository Shs Repr 1/400th Non-	2.45%
JPM.PRD	JPMorgan Chase & Co Depository Shs Repr 1/400th Non-	2.22%
DUK.PRA	Duke Energy Corp Depository Shs Repr 1/1000th Cum	2.02%
USB.PRH	U.S. Bancorp Depository Shs Repr 1/1000th Non-Cum	1.85%

### Vitals

Issuer	Sun Life Financial, Inc.
Brand	AAM
Structure	ETF
Expense Ratio	0.46%
Inception	Nov 19, 2019
Index Tracked	ICE 0-5 Year Duration Exchange-Listed Preferred & Hybrid Securities Index

### ETF Database Themes

Category	Preferred Stock/Convertible Bonds
Asset Class	Multi-Asset
Asset Class Size	Multi-Cap
Asset Class Style	Blend
Region (General)	North America
Region (Specific)	U.S.

### FactSet Classifications

Segment	Fixed Income: U.S. - Corporate, Preferred Short-Term
Category	Corporate, Preferred
Focus	Broad Credit
Niche	Short-Term
Strategy	Multi-factor
Weighting	Market Value