

# SAEF

## Schwab Ariel ESG ETF

### Analyst Report

SAEF is an actively-managed, non-transparent ETF that holds a portfolio of small- and mid-cap US firms screened for ESG criteria. The fund utilizes the Natixis/NYSE non-transparent model.

### ESG Themes and Scores

6.13/10

ESG Rate

38.88%

Global Percentile

61.74%

Peer Percentile

### Performance Data

|               | SAEF   | ETF Database Category Average | Factset Segment Average |
|---------------|--------|-------------------------------|-------------------------|
| 1 Month       | 1.32%  | 1.41%                         | -0.74%                  |
| 3 Month       | -0.74% | 2.04%                         | -0.77%                  |
| YTD Return    | 2.95%  | 4.34%                         | 2.02%                   |
| 1 Year Return | 20.89% | 17.42%                        | 11.47%                  |
| 3 Year Return | 7.17%  | 6.52%                         | 3.18%                   |
| 5 Year Return | N/A    | 5.13%                         | 2.63%                   |

### Top 5 Holdings

| Symbol | Holding                             | % Assets |
|--------|-------------------------------------|----------|
| OSW    | OneSpaWorld Holdings Ltd.           | 5.21%    |
| NCLH   | Norwegian Cruise Line Holdings Ltd. | 4.49%    |
| LIND   | Lindblad Expeditions Holdings, Inc. | 4.19%    |
| FI     | Fiserv, Inc.                        | 3.72%    |
| BCO    | Brink's Company                     | 3.33%    |

### Vitals

|               |                          |
|---------------|--------------------------|
| Issuer        | The Charles Schwab Corp. |
| Brand         | Schwab                   |
| Structure     | ETF                      |
| Expense Ratio | 0.59%                    |
| Inception     | Nov 15, 2021             |
| Index Tracked | N/A                      |

### ETF Database Themes

|                   |                  |
|-------------------|------------------|
| Category          | All Cap Equities |
| Asset Class       | Equity           |
| Asset Class Size  | Multi-Cap        |
| Asset Class Style | N/A              |
| Region (General)  | North America    |
| Region (Specific) | U.S.             |

### FactSet Classifications

|           |                                |
|-----------|--------------------------------|
| Segment   | Equity: U.S. - Extended Market |
| Category  | Size and Style                 |
| Focus     | Extended Market                |
| Niche     | Broad-based                    |
| Strategy  | Active                         |
| Weighting | Proprietary                    |

