

# ESIX

## State Street SPDR S&P SmallCap 600 ESG ETF

### Fund Description

ESIX tracks a market-cap-weighted index of US small-cap companies screened for environmental, social, and governance (ESG) factors.

### FactSet Analyst Report

ESIX adds an ESG layer to the S&P SmallCap 600. It seeks to capture US small-caps with high ESG scores within each GICS sector, while maintaining the sector weights of the S&P 600. The index uses exclusionary screens, filtering out companies (1) involved in tobacco, controversial weapons, and thermal coal, (2) falling in the bottom 5% of the United Nations Global Compact (UNGC) score ranking, or (3) falling in the bottom 25% of ESG scores within each GICS industry group. The remaining companies are ranked in descending order based on their ESG scores, with securities in the top 75% of market capitalization within each industry group selected for inclusion. To track the index, the fund employs a sampling strategy. The index is weighted by market capitalization and rebalances on an annual basis. Prior to Oct. 31, 2025 the Issuer did not use the branding of State Street in the fund name.